

# The anisotropic Strang-Fix conditions

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ZENTRUM FÜR  
MATHEMATIK

\*joint work with Jürgen Prestin

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# Introduction

## Cardinal interpolation on equispaced grids

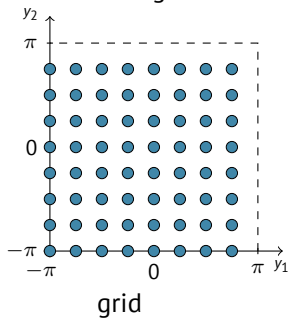
- using polynomials and B-splines on  $\mathbb{R}$  [Schönberg, 1969]
- Strang-Fix conditions: quantify reproduction of polynomials [Strang, Fix, 1973]
- tensor product on  $\mathbb{R}^d$  &  $\mathbb{T}^d$  [Schönberg, 1987; Pöplau, 1995]
- periodic interpolation & Strang-Fix conditions [Pöplau, 1995], [Locher, 1981; Delves, 1987]
- error of periodic interpolation, e.g. in Besov spaces [Sickel, Sprengel, 1998]

## This talk: An anisotropic generalization of

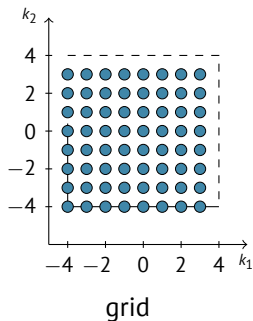
- the norm (spaces) of interest
- the Strang-Fix conditions
- the error of interpolation

# Pattern and the generating Group

Let  $N \in \mathbb{N}$  be given

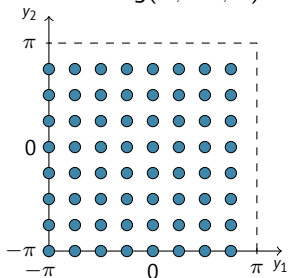


$$N = 8$$



# Pattern and the generating Group

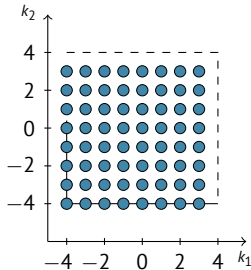
Let  $\mathbf{M} = \text{diag}(N, \dots, N) \in \mathbb{Z}^{d \times d}$  be given



Pattern  $2\pi\mathcal{P}(\mathbf{M})$

$$\mathcal{P}(\mathbf{M}) := \left[-\frac{1}{2}, \frac{1}{2}\right)^d \cap \mathbf{M}^{-1}\mathbb{Z}^d$$

$$\mathbf{M} = \begin{pmatrix} 8 & 0 \\ 0 & 8 \end{pmatrix}$$

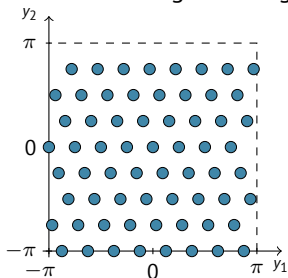


generating Set

$$\begin{aligned} \mathcal{G}(\mathbf{M}^T) &:= \mathbf{M}^T \mathcal{P}(\mathbf{M}^T) \\ &= \mathbf{M}^T \left[-\frac{1}{2}, \frac{1}{2}\right)^d \cap \mathbb{Z}^d \end{aligned}$$

# Pattern and the generating Group

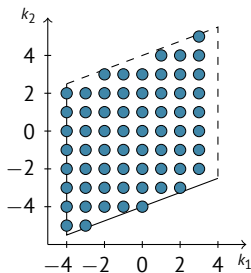
Let  $\mathbf{M} \in \mathbb{Z}^{d \times d}$  regular be given



Pattern  $2\pi\mathcal{P}(\mathbf{M})$

$$\mathbf{M} = \begin{pmatrix} 8 & 3 \\ 0 & 8 \end{pmatrix}$$

$$\mathcal{P}(\mathbf{M}) := \left[-\frac{1}{2}, \frac{1}{2}\right)^d \cap \mathbf{M}^{-1}\mathbb{Z}^d$$



generating Set

$$\begin{aligned} \mathcal{G}(\mathbf{M}^T) &:= \mathbf{M}^T \mathcal{P}(\mathbf{M}^T) \\ &= \mathbf{M}^T \left[-\frac{1}{2}, \frac{1}{2}\right)^d \cap \mathbb{Z}^d \end{aligned}$$

- $m := |\mathcal{P}(\mathbf{M})| = |\mathcal{G}(\mathbf{M})| = |\det \mathbf{M}|$
- $(\mathcal{P}(\mathbf{M}), + \text{mod } 1)$  is a group.

## Fourier Transform and Partial Sum on $\mathcal{P}(\mathbf{M})$

For  $\mathbf{a} = (a_{\mathbf{y}})_{\mathbf{y} \in \mathcal{P}(\mathbf{M})} \in \mathbb{C}^m$  (fixed ordering): DFT

$$\hat{\mathbf{a}} = (\hat{a}_{\mathbf{h}})_{\mathbf{h} \in \mathcal{G}(\mathbf{M}^T)} := \sqrt{m} \mathcal{F}(\mathbf{M}) \mathbf{a} \in \mathbb{C}^m,$$

where the Fourier matrix (same ordering of columns) is given by

$$\mathcal{F}(\mathbf{M}) := \frac{1}{\sqrt{m}} \left( e^{-2\pi i \mathbf{h}^T \mathbf{y}} \right)_{\mathbf{h} \in \mathcal{G}(\mathbf{M}^T), \mathbf{y} \in \mathcal{P}(\mathbf{M})} \in \mathbb{C}^{m \times m}$$

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$$\mathcal{F}(\mathbf{M}) := \frac{1}{\sqrt{m}} \left( e^{-2\pi i \mathbf{h}^T \mathbf{y}} \right)_{\mathbf{h} \in \mathcal{G}(\mathbf{M}^T), \mathbf{y} \in \mathcal{P}(\mathbf{M})} \in \mathbb{C}^{m \times m}$$

Using the Fourier coefficients of  $f \in L_1(\mathbb{T}^d)$  defined as usual

$$c_{\mathbf{k}}(f) := \frac{1}{(2\pi)^d} \int_{\mathbb{T}^d} f(\mathbf{x}) e^{-i\mathbf{k}^T \mathbf{x}} d\mathbf{x}, \quad \mathbf{k} \in \mathbb{Z}^d,$$

we define the Fourier partial sum  $S_{\mathbf{M}} f := \sum_{\mathbf{h} \in \mathcal{G}(\mathbf{M}^T)} c_{\mathbf{h}}(f) e^{i\mathbf{h}^T \circ} \in \mathcal{T}_{\mathbf{M}}$ ,

which is a trigonometric polynomial on  $\mathcal{G}(\mathbf{M})$ , i.e.

$$\mathcal{T}_{\mathbf{M}} := \left\{ f; f = \sum_{\mathbf{h} \in \mathcal{G}(\mathbf{M}^T)} \hat{a}_{\mathbf{h}} e^{i\mathbf{h}^T \circ}, \quad \hat{a}_{\mathbf{h}} \in \mathbb{C} \right\}.$$



## Translation Invariant Spaces

For  $\varphi \in L_1(\mathbb{T}^d)$  we define the translation invariant (TI) space w.r.t.  $\mathcal{P}(\mathbf{M})$ :

$$V_{\mathbf{M}}^{\varphi} := \left\{ f; f = \sum_{\mathbf{y} \in \mathcal{P}(\mathbf{M})} a_{f,\mathbf{y}} \varphi(\circ - 2\pi\mathbf{y}), \quad \mathbf{a}_f = (a_{f,\mathbf{y}})_{\mathbf{y} \in \mathcal{P}(\mathbf{M})} \in \mathbb{C}^m \right\}$$

This can be expressed in Fourier coefficients

### Lemma

$f \in V_{\mathbf{M}}^{\varphi}$  if and only if

$$c_{\mathbf{h} + \mathbf{M}^T \mathbf{z}}(f) = \sum_{\mathbf{y} \in \mathcal{P}(\mathbf{M})} a_{f,\mathbf{y}} e^{-2\pi i \mathbf{h}^T \mathbf{y}} c_{\mathbf{h} + \mathbf{M}^T \mathbf{z}}(\varphi) = \hat{a}_{f,\mathbf{h}} c_{\mathbf{h} + \mathbf{M}^T \mathbf{z}}(\varphi),$$

holds for all  $\mathbf{h} \in \mathcal{G}(\mathbf{M}^T)$ ,  $\mathbf{z} \in \mathbb{Z}^d$ , where  $\hat{\mathbf{a}}_f = \sqrt{m} \mathcal{F}(\mathbf{M}) \mathbf{a}_f$ .

# Function Spaces

For  $\beta \geq 0$ ,  $q \geq 1$  define the spaces

[Sprengel, 1998]

$$A_q^\beta(\mathbb{T}^d) := \{f \in L_1(\mathbb{T}^d) \mid \|f\|_{A_q^\beta} < \infty\},$$

where

$$\|f\|_{A_q^\beta} := \left\| \left\{ (1 + \|\mathbf{k}\|_2^2)^{\beta/2} c_{\mathbf{k}}(f) \right\}_{\mathbf{k} \in \mathbb{Z}^d} \right\|_{\ell_q(\mathbb{Z}^d)}.$$

- $q = 2$ : Sobolev spaces  $H^\beta(\mathbb{T}^d) = A_2^\beta(\mathbb{T}^d)$
- smoothness imposed by *isotropic decay* of Fourier coefficients  $c_{\mathbf{k}}(f)$
- Wiener Algebra  $A_1^0(\mathbb{T}^d)$

# Function Spaces

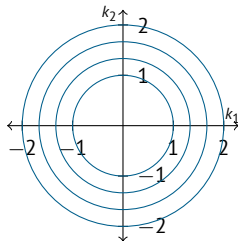
For  $\beta \geq 0$ ,  $q \geq 1$  define the spaces

[B., Prestin, 2014]

$$A_{\mathbf{E}_d, q}^\beta(\mathbb{T}^d) := \left\{ f \in L_1(\mathbb{T}^d) \mid \|f\|_{A_{\mathbf{E}_d, q}^\beta} < \infty \right\},$$

where

$$\|f\|_{A_{\mathbf{E}_d, q}^\beta} := \left\| \left\{ \sigma_\beta^{\mathbf{E}_d}(\mathbf{k}) c_{\mathbf{k}}(f) \right\}_{\mathbf{k} \in \mathbb{Z}^d} \right\|_{\ell_q(\mathbb{Z}^d)}.$$



niveau lines of  $\sigma_\beta^{N\mathbf{E}_d}(\mathbf{k}) = \left(1 + \|N\mathbf{E}_d\|_2^2 \left\|\frac{1}{N}\mathbf{k}\right\|_2^2\right)^{\beta/2}$

# Function Spaces

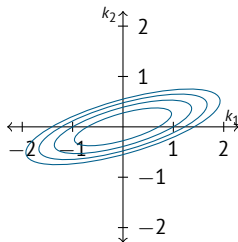
For  $\beta \geq 0$ ,  $q \geq 1$  define the spaces

[B., Prestin, 2014]

$$A_{\mathbf{M},q}^{\beta}(\mathbb{T}^d) := \left\{ f \in L_1(\mathbb{T}^d) \mid \|f\|_{A_{\mathbf{M},q}^{\beta}} < \infty \right\},$$

where

$$\|f\|_{A_{\mathbf{M},q}^{\beta}} := \left\| \{ \sigma_{\beta}^{\mathbf{M}}(\mathbf{k}) c_{\mathbf{k}}(f) \}_{\mathbf{k} \in \mathbb{Z}^d} \right\|_{\ell_q(\mathbb{Z}^d)}.$$



niveau lines of  $\sigma_{\beta}^{\mathbf{M}}(\mathbf{k}) := (1 + \|\mathbf{M}\|_2^2 \|\mathbf{M}^{-\text{T}} \mathbf{k}\|_2^2)^{\beta/2}$ ,  $\mathbf{k} \in \mathbb{Z}^d$ ,  $\mathbf{M} = \begin{pmatrix} 16 & 0 \\ 14 & 8 \end{pmatrix}$

Anisotropic decay, but equivalent norms for fixed  $q, \beta$ , i.e.  $A_q^{\beta} = A_{\mathbf{M},q}^{\beta}$ .

# Interpolation

- Sample a function:  $a_{\mathbf{y}} = f(2\pi\mathbf{y})$ ,  $\mathbf{y} \in \mathcal{P}(\mathbf{M})$
- Interpolation operator:  $L_{\mathbf{M}}f \in V_{\mathbf{M}}^{\varphi}$ , i.e.  $L_{\mathbf{M}}f(2\pi\mathbf{y}) = f(2\pi\mathbf{y})$
- for cardinal interpolant  $l_{\mathbf{M}} \in V_{\mathbf{M}}^{\varphi}$ :

$$L_{\mathbf{M}}f = \sum_{\mathbf{y} \in \mathcal{P}(\mathbf{M})} f(2\pi\mathbf{y}) l_{\mathbf{M}}(\circ - 2\pi\mathbf{y}).$$

## Lemma

Let  $\varphi \in A(\mathbb{T}^d)$  and  $\mathbf{M} \in \mathbb{Z}^{d \times d}$  be regular. Then  $l_{\mathbf{M}} \in V_{\mathbf{M}}^{\varphi}$  exists iff

$$\sum_{\mathbf{z} \in \mathbb{Z}^d} c_{\mathbf{h} + \mathbf{M}^T \mathbf{z}}(\varphi) \neq 0, \quad \text{for all } \mathbf{h} \in \mathcal{G}(\mathbf{M}^T).$$

*Sketch of proof:* Use  $c_{\mathbf{k}}(l_{\mathbf{M}})$ , discrete Fourier coefficients & Aliasing formula:

$$c_{\mathbf{k}}^{\mathbf{M}}(\varphi) := \frac{1}{m} \sum_{\mathbf{y} \in \mathcal{P}(\mathbf{M})} \varphi(2\pi\mathbf{y}) e^{-2\pi i \mathbf{k}^T \mathbf{y}} = \sum_{\mathbf{z} \in \mathbb{Z}^d} c_{\mathbf{k} + \mathbf{M}^T \mathbf{z}}(\varphi), \quad \mathbf{k} \in \mathbb{Z}^d$$

# Periodic Strang-Fix Conditions

## Definition (Periodic Strang-Fix Conditions)

For  $N \in \mathbb{N}$ ,  $s > 0$ ,  $q \geq 1$  and an  $\alpha \in \mathbb{R}^+$ ,  
the cardinal interpolant  $I_N \in L_1(\mathbb{T}^d)$  fulfills the  
*periodic Strang-Fix conditions of order  $s$* ,  
if there exists a nonnegative sequence  $\mathbf{b} = \{b_{\mathbf{z}}\}_{\mathbf{z} \in \mathbb{Z}^d}$ , s.t.

$$1 \quad |1 - N^d c_{\mathbf{h}}(I_N)| \leq b_{\mathbf{0}} N^{-s} \|\mathbf{h}\|_2^s,$$

$$2 \quad |N^d c_{\mathbf{h} + N\mathbf{z}}(I_N)| \leq b_{\mathbf{z}} N^{-s-\alpha} \|\mathbf{h}\|_2^s$$

holds for any  $\mathbf{h} \in [-\frac{N}{2}, \dots, \frac{N}{2} - 1]^d$ ,  $\mathbf{z} \in \mathbb{Z}^d \setminus \{\mathbf{0}\}$ , and

$$\gamma_{\text{SF}} := \|\{\sigma_{\alpha}^{\mathbf{E}^d}(\mathbf{z}) b_{\mathbf{z}}\}_{\mathbf{z} \in \mathbb{Z}^d}\|_{\ell_q(\mathbb{Z}^d)} < \infty.$$

# Anisotropic Periodic Strang-Fix Conditions

## Definition (Anisotropic Periodic Strang-Fix Conditions)

For  $\mathbf{M} \in \mathbb{Z}^{d \times d}$ ,  $\lambda_1(\mathbf{M}) > 1$ ,  $s > 0$ ,  $q \geq 1$  and an  $\alpha \in \mathbb{R}^+$ , the cardinal interpolant  $I_{\mathbf{M}} \in L_1(\mathbb{T}^d)$  fulfills the *elliptic/anisotropic periodic Strang-Fix conditions of order  $s$* , if there exists a nonnegative sequence  $\mathbf{b} = \{b_{\mathbf{z}}\}_{\mathbf{z} \in \mathbb{Z}^d}$ , s.t.

$$1 \quad |1 - mc_{\mathbf{h}}(I_{\mathbf{M}})| \leq b_{\mathbf{0}} \kappa_{\mathbf{M}}^{-s} \|\mathbf{M}^{-\mathbf{T}} \mathbf{h}\|_2^s,$$

$$2 \quad |mc_{\mathbf{h} + \mathbf{M}^{\mathbf{T}} \mathbf{z}}(I_{\mathbf{M}})| \leq b_{\mathbf{z}} \kappa_{\mathbf{M}}^{-s} \|\mathbf{M}\|_2^{-\alpha} \|\mathbf{M}^{-\mathbf{T}} \mathbf{h}\|_2^s$$

holds for any  $\mathbf{h} \in \mathcal{G}(\mathbf{M}^{\mathbf{T}})$ ,  $\mathbf{z} \in \mathbb{Z}^d \setminus \{\mathbf{0}\}$ , and

$$\gamma_{\text{SF}} := \|\{\sigma_{\alpha}^{\mathbf{M}}(\mathbf{z}) b_{\mathbf{z}}\}_{\mathbf{z} \in \mathbb{Z}^d}\|_{\ell_q(\mathbb{Z}^d)} < \infty.$$

$\kappa_{\mathbf{M}} := \|\mathbf{M}\|_2 \|\mathbf{M}^{-1}\|_2$  denotes the condition number of  $\mathbf{M}$ .

# Error Bounds for Interpolation

## First Step: Triangle Inequality

- let  $f$  have a certain anisotropic smoothness, i.e.  $f \in A_{\mathbf{M},q}^{\alpha}$
- let  $\mathbf{I}_{\mathbf{M}} \in V_{\mathbf{M}}^{\rho}$  fulfill the anisotropic Strang-Fix conditions of order  $s \geq 0$  for  $q, \alpha, \mathbf{M}$
- Goal: Upper bound for  $\|f - L_{\mathbf{M}}f\|$  in certain norm  $\|\cdot\|$

Idea: If  $f$  is very smooth along a certain direction, then a few translates should be sufficient, and vice versa many translates for “rough directions”.

Instead of the pattern  $\mathcal{P}(\mathbf{M})$ : Take a “good” set of trig. polynomials  $\mathcal{T}_{\mathbf{M}}$ .

First step for the upper bound of  $\|f - L_{\mathbf{M}}f\|$ : triangle inequality

$$\|f - L_{\mathbf{M}}f\| \leq \|S_{\mathbf{M}}f - L_{\mathbf{M}}S_{\mathbf{M}}f\| + \|f - S_{\mathbf{M}}f\| + \|L_{\mathbf{M}}(f - S_{\mathbf{M}}f)\|$$



# Error Bound for Interpolation

## Part I: trigonometric polynomials

### Theorem (B., Prestin, 2014)

Let  $\mathbf{M} \in \mathbb{Z}^{d \times d}$ ,  $\lambda_1(\mathbf{M}) > 1$ ,  $g \in \mathcal{T}_{\mathbf{M}}$  and let  $L_{\mathbf{M}} \in A(\mathbb{T}^d)$  corresp. to  $\varphi$  fulfill the Strang-Fix cond. for  $s \geq 0$ ,  $\alpha > 0$  and  $q \geq 1$ . Then

$$\|g - L_{\mathbf{M}}g\|_{A_{\mathbf{M},q}^{\alpha}} \leq \left( \frac{1}{\|\mathbf{M}\|_2} \right)^s \gamma_{\text{SF}} \|g\|_{A_{\mathbf{M},q}^{\alpha+s}}.$$

- proof: take  $c_{\mathbf{k}}(g - L_{\mathbf{M}}g)$  and apply Strang-Fix condition inequalities
- apply to  $g = S_{\mathbf{M}}f$  and use  $\|S_{\mathbf{M}}f\|_{A_{\mathbf{M},q}^{\alpha+s}} \leq \|f\|_{A_{\mathbf{M},q}^{\alpha+s}}$ .
- $\|\mathbf{M}\|_2$  denotes length of main axis of the ellipsoid  $\|\mathbf{M}^{-\text{T}}\mathbf{x}\|_2 = 1$
- if  $f$  is smooth along this direction, the left hand side is very small.

# Error Bound for Interpolation

Part II & III: Error of approximation with Fourier partial sum and its interpolant

## Theorem (B., Prestin, 2014)

Let  $\mathbf{M} \in \mathbb{Z}^{d \times d}$  regular,  $f \in A_{\mathbf{M},q}^\mu(\mathbb{T}^d)$ ,  $q \geq 1$  und  $\mu \geq \alpha \geq 0$ . Then

$$\|f - S_{\mathbf{M}} f\|_{A_{\mathbf{M},q}^\alpha} \leq \left( \frac{2}{\|\mathbf{M}\|_2} \right)^{\mu - \alpha} \|f\|_{A_{\mathbf{M},q}^\mu}.$$

*Sketch of proof:* Split weight  $\sigma_{\alpha}^{\mathbf{M}}(\mathbf{k}) = \sigma_{\alpha - \mu}^{\mathbf{M}}(\mathbf{k}) \sigma_{\mu}^{\mathbf{M}}(\mathbf{k})$   
and bound first term from above for all  $\mathbf{k} \in \mathbb{Z}^d \setminus \mathcal{G}(\mathbf{M}^T)$ .

# Error Bound for Interpolation

Part II & III: Error of approximation with Fourier partial sum and its interpolant

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*Sketch of proof:* Split weight  $\sigma_\alpha^{\mathbf{M}}(\mathbf{k}) = \sigma_{\alpha-\mu}^{\mathbf{M}}(\mathbf{k})\sigma_\mu^{\mathbf{M}}(\mathbf{k})$  and bound first term from above for all  $\mathbf{k} \in \mathbb{Z}^d \setminus \mathcal{G}(\mathbf{M}^T)$ .

## Theorem (B., Prestin, 2014)

Let  $\mathbf{M} \in \mathbb{Z}^{d \times d}$  be regular,  $f \in A_{\mathbf{M},q}^\mu(\mathbb{T}^d)$ ,  $q \geq 1$ ,  $\mu \geq \alpha \geq 0$ , and  $\mu > d(1 - 1/q)$ . Then

$$\|L_{\mathbf{M}}(f - S_{\mathbf{M}}f)\|_{A_{\mathbf{M},q}^\alpha} \leq \gamma_{\text{IP}}\gamma_{\text{Sm}} \left( \frac{1}{\|\mathbf{M}\|_2} \right)^{\mu-\alpha} \|f\|_{A_{\mathbf{M},q}^\mu},$$

where  $\gamma_{\text{IP}}$  does only depends on  $\mathbf{I}_{\mathbf{M}}$ , i.e. on  $\varphi$ , and  $\gamma_{\text{Sm}}$  only on  $q$ ,  $\alpha$  and  $\mu$ .

# Error Bound for Interpolation

Putting the three parts together

## Theorem (B., Prestin, 2014)

Let  $\mathbf{M} \in \mathbb{Z}^{d \times d}$ ,  $\lambda_1(\mathbf{M}) > 1$  and  $f \in A_{\mathbf{M},q}^\mu(\mathbb{T}^d)$ ,  $\mu \geq \alpha \geq 0$ , with  $\mu > d(1 - 1/q)$ . Let the cardinal interpolant  $\mathbf{L}_{\mathbf{M}}$  corresp. to  $\varphi$  fulfill the anisotropic Strang-Fix conditions of order  $s > 0$ , and  $q \geq 1$ ,  $\alpha \geq 0$ . Then

$$\|f - \mathbf{L}_{\mathbf{M}}f\|_{A_{\mathbf{M},q}^\alpha} \leq C_\rho \left( \frac{1}{\|\mathbf{M}\|_2} \right)^\rho \|f\|_{A_{\mathbf{M},q}^\mu}, \quad \text{where } \rho := \min\{s, \mu - \alpha\},$$

$$C_\rho := \begin{cases} \gamma_{\text{SF}} + 2^{\mu-\alpha} + \gamma_{\text{IP}}\gamma_{\text{Sm}} & \text{for } \rho = s, \\ (1+d)^{s+\alpha-\mu} \gamma_{\text{SF}} + 2^{\mu-\alpha} + \gamma_{\text{IP}}\gamma_{\text{Sm}} & \text{for } \rho = \mu - \alpha. \end{cases}$$

- For  $\rho = \mu - \alpha$ : similar theorem to part I necessary.
- $\mu - \alpha$  “additional smoothness” of  $f$  compared to error of interpolation
- the Strang-Fix order  $s$  of  $\varphi$ : saturation level

## Conclusion & Future Work

- added anisotropy to the grid  $\Rightarrow$  pattern/generating set
- adapted (anisotropic) periodic Strang-Fix conditions
- classification/introduction of directions
  - major axes of ellipsoids  $\|\mathbf{M}^{-T}\mathbf{x}\| = c$  in Fourier coefficient indices
  - $\mathbf{M}^{-1}\mathbf{v}_j$  in time domain
- upper bound for error of interpolation of  $f \in A_{\mathbf{M},q}^\alpha$ .

### Future Work

- Are the anisotropic sparse grids?
- extend approach to anisotropic spaces of mixed smoothness
- application to static linear elasticity on a periodic composite

# Literature

- [1] RB and J. Prestin. Multivariate Anisotropic Interpolation on the Torus. *Approximation Theory XIV: San Antonio 2013*, 2014.
- [2] RB and J. Prestin. Multivariate Periodic Wavelets of de la Vallée Poussin Type. *Journal of Fourier Analysis and Applications*, 2015.
- [3] RB. Translationsinvariante Räume multivariater anisotroper Funktionen auf dem Torus. *Dissertation*, 2013.
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- [6] F. Sprengel. Interpolation und Waveletzerlegung multivariater periodischer Funktionen. *Dissertation*, 1997.

## Literature

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Thank you for your attention.